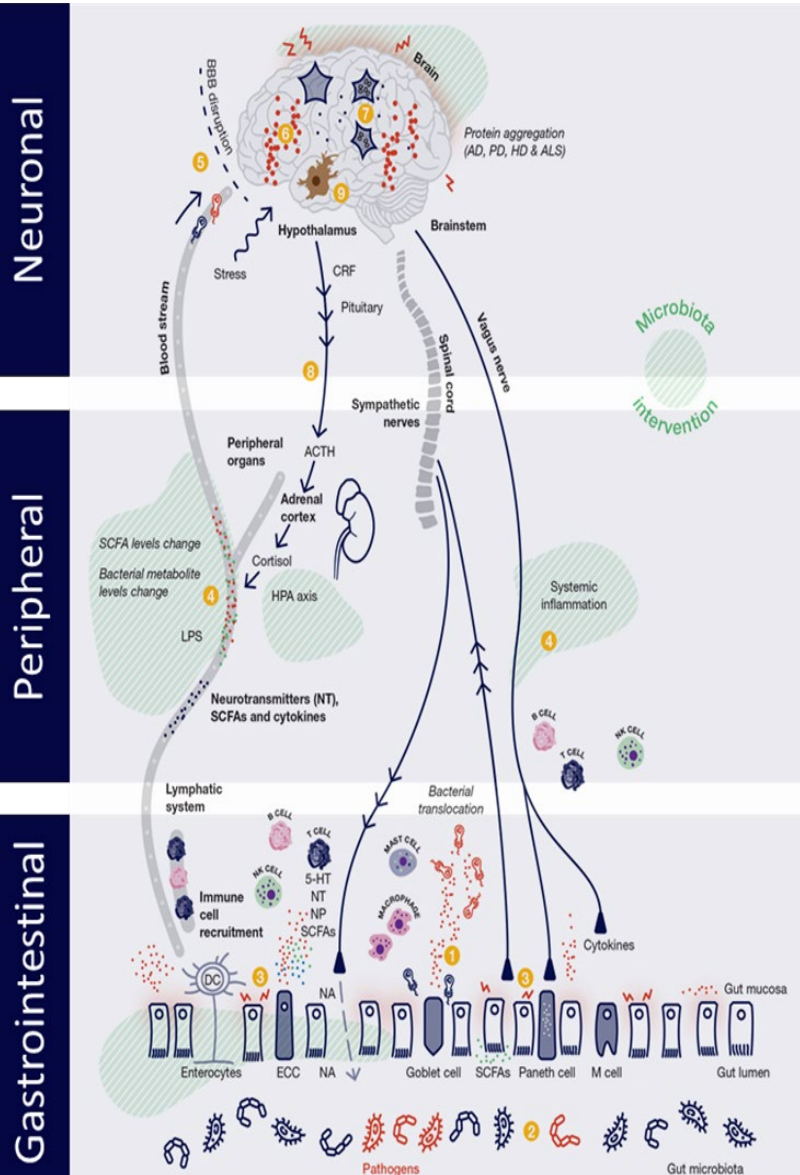


# Harnessing the Neuromodulatory Effects of Live Biotherapeutic Products (LBPs) Derived from the Gut Microbiota to Treat Parkinson's Disease (PD)

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## BACKGROUND AND RATIONALE

- Parkinson's disease (PD) is a heterogeneous neurodegenerative disease characterized by the accumulation of misfolded  $\alpha$ -synuclein protein and degeneration of dopaminergic neurons in the substantia nigra and other related circuitry, which contribute to the development of both motor and non-motor symptoms.
- The bi-directional communication pathway between the gastrointestinal (GI) tract and the central nervous system (CNS), known as the microbiota-gut-brain axis, has been explored in several preclinical and clinical studies, implicating its role in the pathogenesis of neurodegenerative disorders, such as PD.
- Accumulating evidence suggests that the onset of non-motor symptoms, such as gastrointestinal manifestations, often precedes the onset of motor symptoms and disease diagnosis, lending support to the potential role that the microbiota-gut-brain axis might play in the underlying pathological mechanisms of PD.
- Intestinal hyperpermeability, inflammation, GI dysmotility, microbiome dysbiosis, intestinal  $\alpha$ -synuclein inclusions and impaired activity of enteric neurons are early manifestations of PD.
- Novel therapeutics that can restore a functional microbiota-gut-brain axis could thus potentially have disease-modifying properties by delaying or even preventing neurodegenerative processes.

## IN VITRO DATA SUMMARY

We identified two single-strain live biotherapeutic products (LBPs), *Parabacteroides distasonis* MRx0005 and *Megasphaera massiliensis* MRx0029, for the treatment of PD.

### Neuroprotection

MRx0005 and MRx0029 showed statistically significant rescue of cell viability induced by MPP<sup>+</sup>, MRx0029 completely rescuing viability comparable to untreated controls, while MRx0005 was comparable to the positive control (Quercetin).

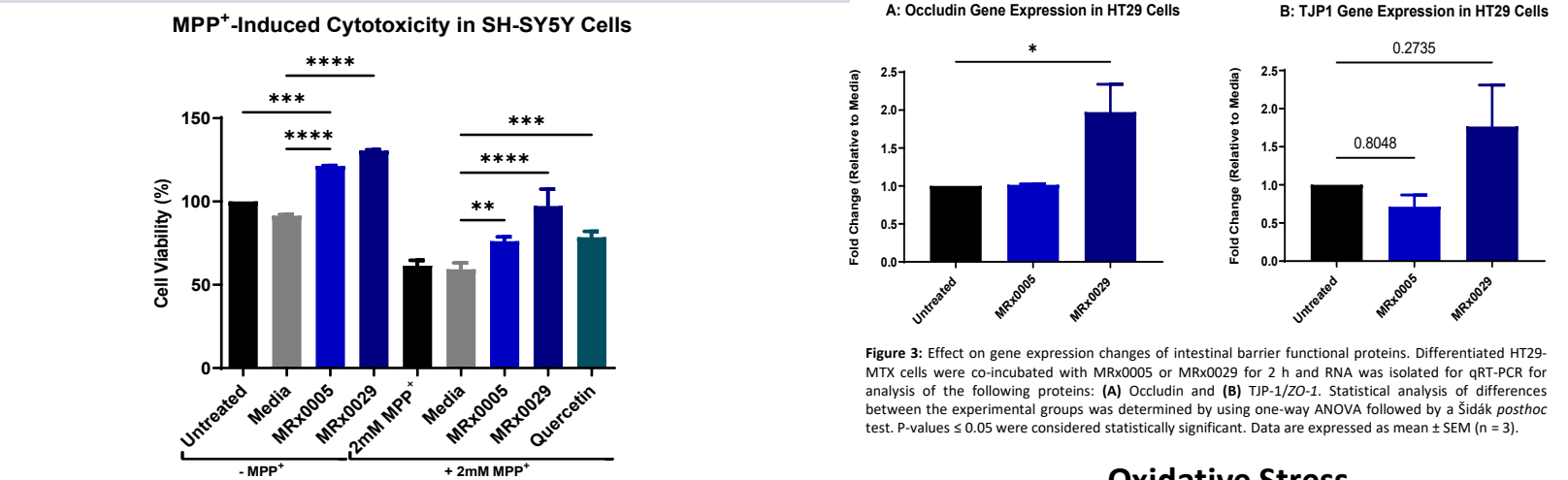


Figure 1: Effect on MPP<sup>+</sup>-induced cytotoxicity. After 24 h incubation, 10% bacterial cell-free supernatant of MRx0005, MRx0029 or media were added to the wells and the plates were returned to the incubator. After another 24 h incubation, cell viability was assessed. The plates were incubated with Cell Counting Kit-8 for 3 h, allowing the WST-8 to produce a water-soluble formazan dye. Absorbance was measured at 450 nm with a reference wavelength of 655 nm. Data is represented as a percentage of viable cells compared to untreated and/or media controls and 2mM MPP<sup>+</sup> + media. Statistical analysis of differences between the experimental groups was determined by using one-way ANOVA followed by a Tukey's posthoc test. P-values  $\leq 0.05$  were considered statistically significant. Data are expressed as mean  $\pm$  SEM (n = 6).

### Neuroinflammation

MRx0005 and MRx0029 reduced IL-6 secretion following treatment with wild-type and clinically relevant mutant forms of  $\alpha$ -synuclein in U373 cells co-cultured with SH-SY5Y cells.

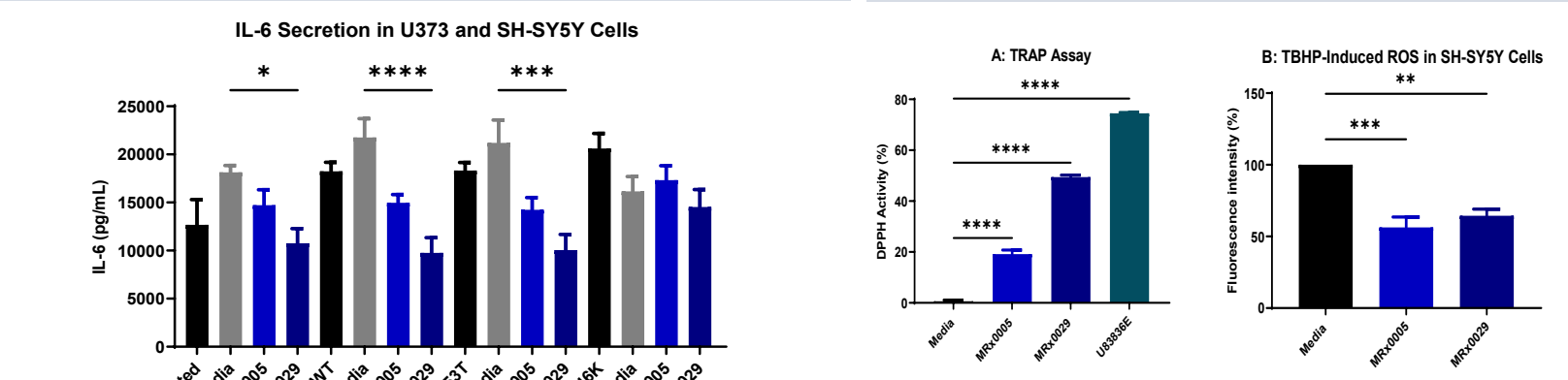
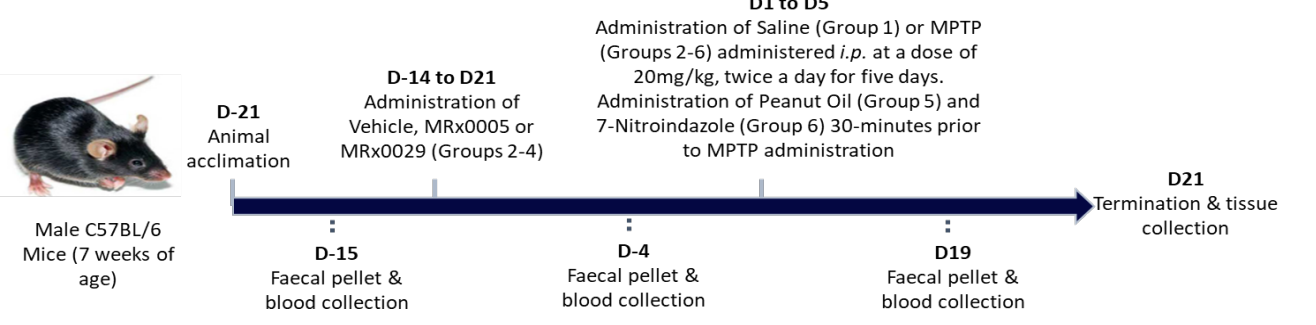


Figure 2: Effect on IL-6 secretion in a co-culture of SH-SY5Y and U-373 cells. SH-SY5Y were plated at a density of 50,000 cells/well and placed in the CO<sub>2</sub> incubator. After 24 h, the media were replaced with a differentiation medium and 10  $\mu$ M retinoic acid. On Day 10, the differentiation medium was removed and replaced with a growth medium. Cells were co-cultured with U-373 cells grown on 12 transwell plates (50,000/well) in 12 well plates, plated 2 h before the experiment) for 24 h. Thereafter SH-SY5Y cells were treated with WT, A53T or E46K  $\alpha$ -synuclein protein followed by 10% bacterial cell-free supernatant of MRx0005, MRx0029 or media as control. Cell-free supernatants from U-373 were collected 48 h after for IL-6 secretion measured by ELISA. Statistical analysis of differences between the experimental groups was determined by using one-way ANOVA followed by a Sidak posthoc test. P-values  $\leq 0.05$  were considered statistically significant. Data are expressed as mean  $\pm$  SEM (n = 3).

## IN VIVO STUDY DESIGN

The disease-modifying potential of MRx0005 and MRx0029 were demonstrated in an MPTP-lesioned mouse model of PD.



Group	# of Animals	Disease Induction	Treatment	Dose & Route	Dosing Schedule
1	10	0.9% Sterile Saline	PBS	N/A; <i>p.o.</i>	<i>q.d.</i> ; Day -14-21
2	10	MPTP	PBS	N/A; <i>p.o.</i>	<i>q.d.</i> ; Day -14-21
3	10	MPTP	MRx0005	1.0 x 10 <sup>8</sup> CFU/200 $\mu$ L; <i>p.o.</i>	<i>q.d.</i> ; Day -14-21
4	10	MPTP	MRx0029	1.0 x 10 <sup>8</sup> CFU/200 $\mu$ L; <i>p.o.</i>	<i>q.d.</i> ; Day -14-21
5	10	MPTP	Peanut Oil	N/A; <i>i.p.</i>	<i>b.i.d.</i> ; Day 1-5
6	10	MPTP	7-Nitroindazole (7-NI)	50 mg/kg; <i>i.p.</i>	<i>b.i.d.</i> ; Day 1-5

- Tyrosine hydroxylase (TH) and NeuN-positive cell numbers in the SN were quantified by IHC and stereology.
- Striatal dopamine and its metabolites (DOPAC and HVA) levels were quantified by LC-MS/MS.
- Striatal dopamine transporter (DAT) levels were quantified by autoradiography.

## IN VIVO DATA SUMMARY

### Neuroprotection

MRx0029 protected from loss of tyrosine hydroxylase (TH)<sup>+</sup> neurons in MPTP-induced brain lesions. Neuroprotection is comparable to positive control 7-Nitroindazole (7-NI).

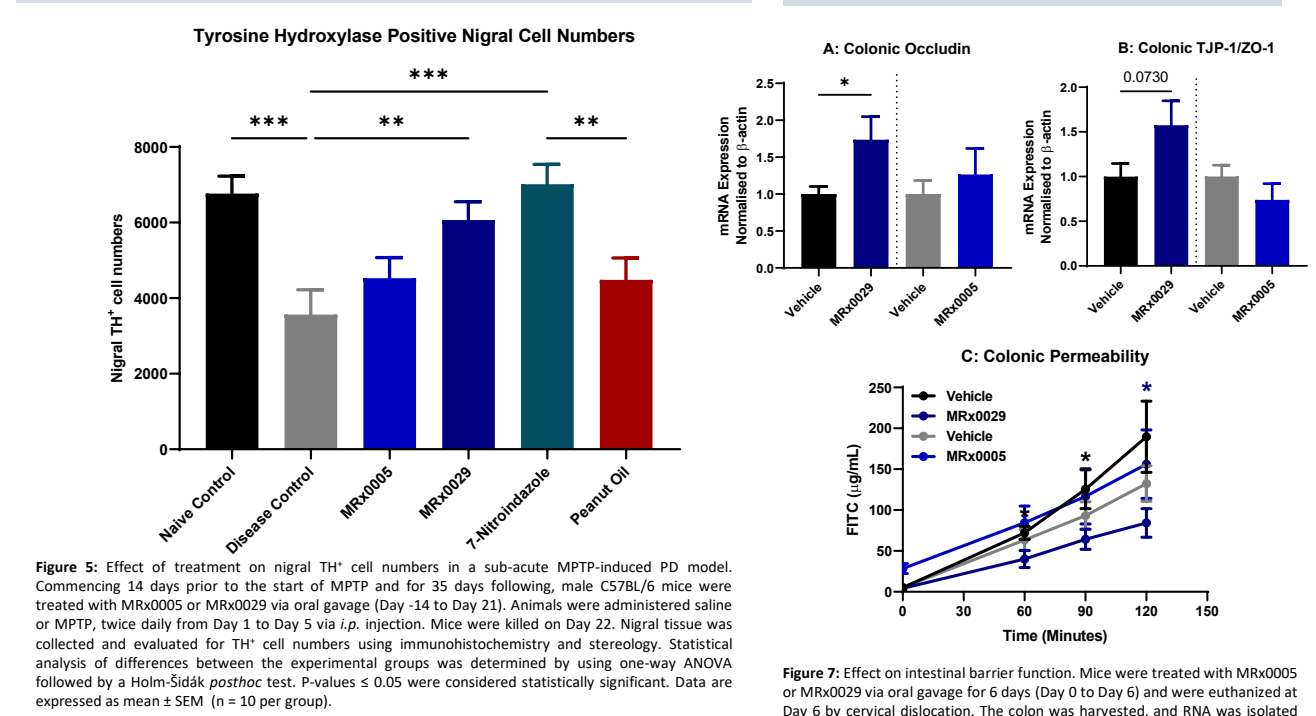


Figure 3: Effect on gene expression changes of intestinal barrier functional proteins. Differentiated HT29-MTX cells were co-cultured with MRx0005 or MRx0029 for 2 h and RNA was isolated for qRT-PCR for analysis of the following proteins: (A) Occludin and (B) TJP-1/ZO-1. Statistical analysis of differences between the experimental groups was determined by using one-way ANOVA followed by a Sidak posthoc test. P-values  $\leq 0.05$  were considered statistically significant. Data are expressed as mean  $\pm$  SEM (n = 3).

### Intestinal Barrier Function

MRx0029 showed an increase in gene expression of intestinal tight junction proteins in the colon and reduced colonic permeability.

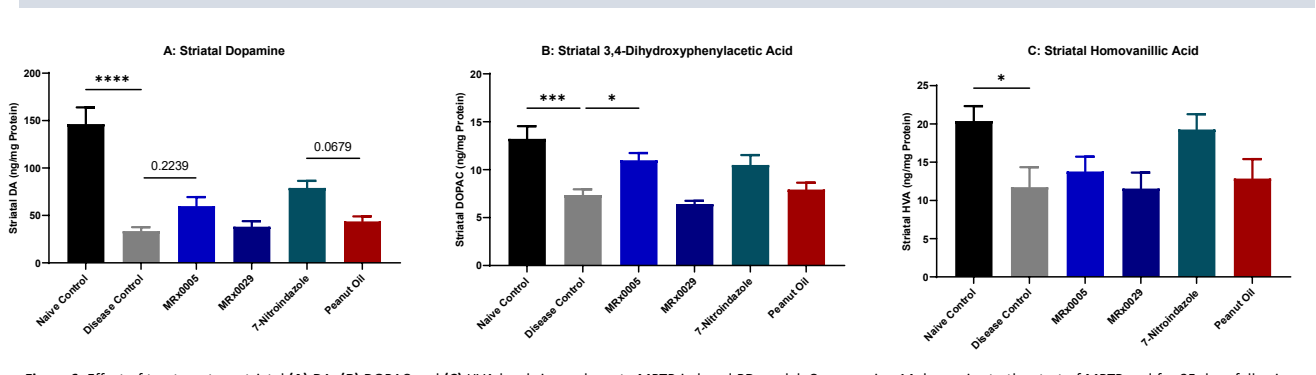
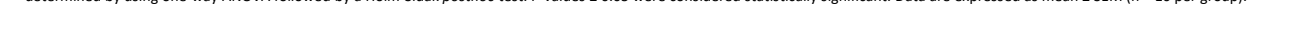


Figure 5: Effect of treatment on nigral TH<sup>+</sup> cell numbers in a sub-acute MPTP-induced PD model. Commencing 14 days prior to the start of MPTP and for 35 days following, male C57BL/6 mice were treated with MRx0005 or MRx0029 via oral gavage (Day -14 to Day 21). Animals were administered saline or MPTP, twice daily from Day 1 to Day 5 via *i.p.* injection. Mice were killed on Day 22. Nigral tissue was collected and evaluated for TH<sup>+</sup> cell numbers using immunohistochemistry and stereology. Statistical analysis of differences between the experimental groups was determined by using one-way ANOVA followed by a Holm-Sidak posthoc test. P-values  $\leq 0.05$  were considered statistically significant. Data are expressed as mean  $\pm$  SEM (n = 10 per group).

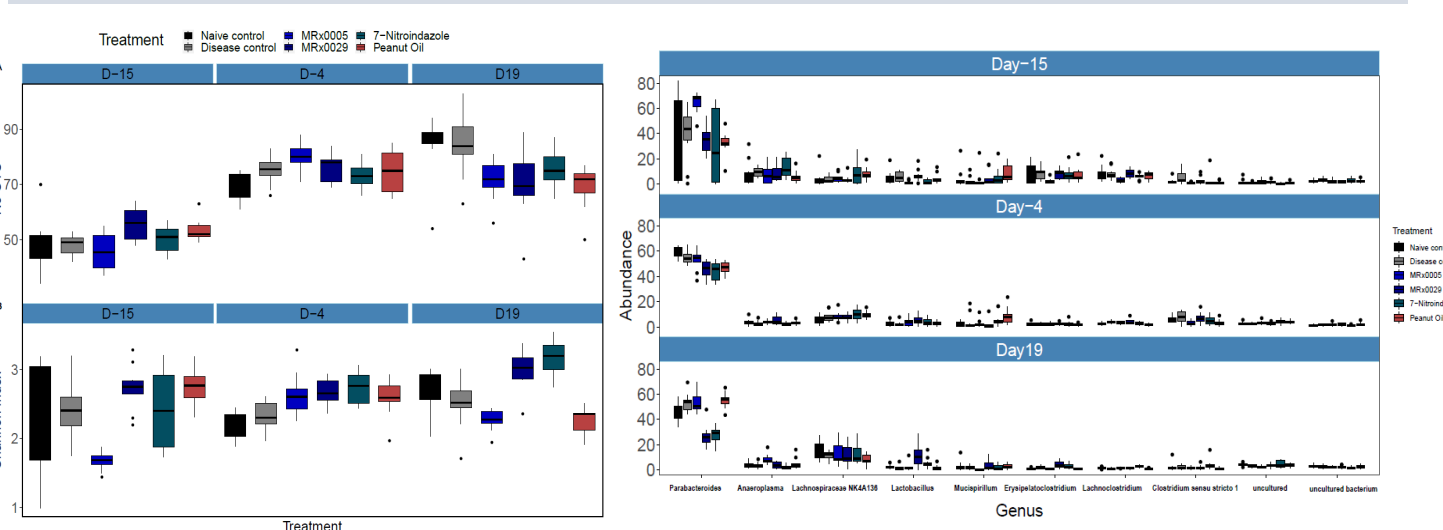
### Neurotransmitters

MRx0005 protected from loss of striatal dopamine (DA) and its metabolites (DOPAC) in MPTP-treated mice. The effect is similar to that of the positive control 7-NI. No changes in HVA were observed in this model between treatment groups.



## Microbiome

MRx0029 associated with an increase in Shannon index and in the abundance of Firmicutes, Tenericutes, Lactobacillus, Anaerotruncus and Ruminococcaceae UCG-013 and a decrease in opportunistic pathogens.



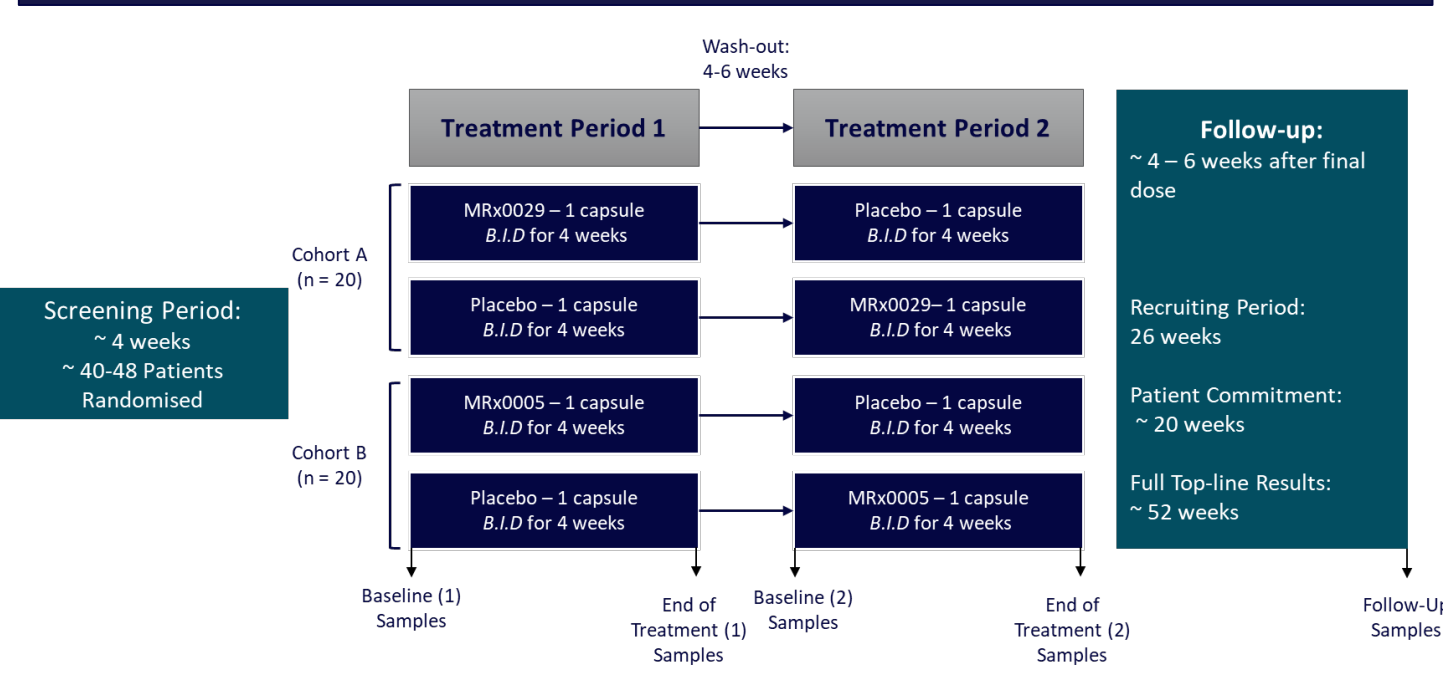
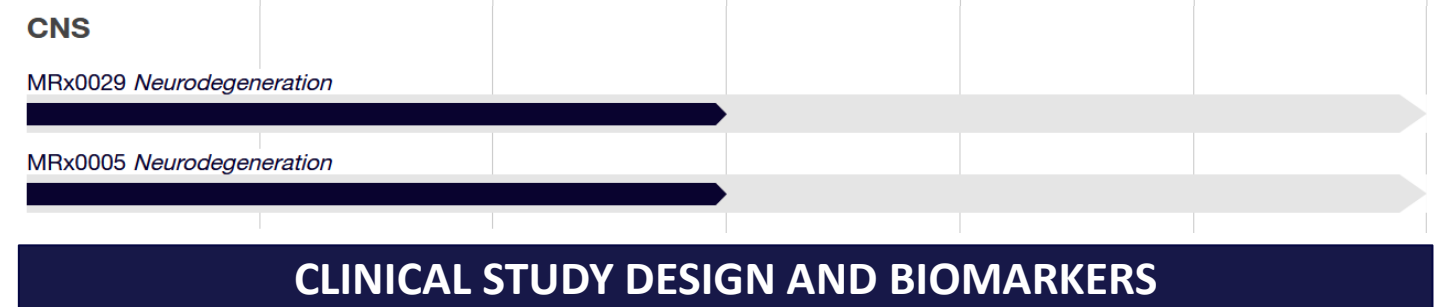
## Metabolomics

L-lysine degradation found to be enriched in MRx0005, MRx0029 and 7-NI compared to MPTP control. Multiple pathways from this superpathway are enriched in MRx0029.

Pathway	Enriched compounds
L-lysine degradation I	5-aminopentanoate, succinate
L-lysine degradation III	*N <sup>6</sup> -acetyl-L-lysine, 2-keto-6-acetamidopropionate, 5-aminopentanoate
L-lysine degradation IV	5-aminopentanoate, succinate
L-lysine degradation V	L-2-aminoadipate, succinate



## CLINICAL STUDY DESIGN AND BIOMARKERS



- Safety & Tolerability
- Movement Disorder Society-Unified Parkinson's Disease Rating Scale (MDS-UPDRS) and Movement Disorder Society-Non Motor Symptom Rating Scale (MDS-NMS)
- Pharmacokinetic Profile of Levodopa (alone or in combination with decarboxylase inhibitor or Carbidopa)
- Participants will report dietary habits by filling out a Food Frequency Questionnaire

Sample Type	Biomarkers
Plasma	• Neurotransmitters • Metabolomics • Zonulin (Intestinal Barrier Function)
Urine	• Metabolomics
Stool	• Microbiome • Metabolomics

The study is sponsored by 4D pharma plc. For more information, contact [clinicaltrials@4dpharmapl.com](mailto:clinicaltrials@4dpharmapl.com).