

#### 4D PHARMA PLC

At the Annual General Meeting of the Company held at the offices of Pinsent Masons LLP, 1 Park Row, Leeds, England, LS1 5AB on 28 June 2022 at 9:00 am, the following resolutions were passed, of which the resolutions numbered 1 to 8 were passed as ordinary resolutions and the resolution numbered 9 was passed as a special resolution.

Resolution	Votes For	Votes Against	Withheld
1. To receive and adopt the Company's annual accounts for the financial year ended 31 December 2021	46,013,569 (99.54%)	213,558 (0.46%)	139,453 (0.31%)
2. To approve the Directors' Remuneration Report as set out in the Annual Report and Accounts (other than the part containing the Directors' Remuneration Policy) for the year ended 31 December 2021	39,694,457 (86.13%)	6,394,299 (13.87%)	277,824 (0.59%)
3. To approve the Directors' Remuneration Policy (contained in the Directors' Remuneration Report), as set out in the Annual Report and Accounts for the year ended 31 December 2021	42,313,458 (91.68%)	3,842,088 (8.32%)	211,034 (0.45%)
4. To re-elect Sandy Macrae, who retires by rotation, as a Director of the Company	42,974,606 (93.14%)	3,163,403 (6.86%)	228,571 (0.49%)
5. To re-elect Alex Stevenson, who retires by rotation, as a Director of the Company.	43,534,111 (94.36%)	2,603,898 (5.64%)	228,571 (0.49%)
6. To re-appoint RSM UK Audit LLP as auditor of the Company, to hold office until the conclusion of the next general meeting at which accounts are laid before the Company.	46,002,623 (99.51%)	228,504 (0.49%)	135,453 (0.29%)
7. To authorise the Directors to agree the remuneration of the auditor.	45,946,744 (99.39%)	281,583 (0.61%)	138,253 (0.30%)

<p>8. That, pursuant to section 551 of the Companies Act 2006, the Directors be and are hereby generally and unconditionally authorised to exercise all the powers of the Company to allot shares in the Company, and to grant rights to subscribe for or to convert any security into shares in the Company up to an aggregate nominal amount of £450,752 (approximately 100 per cent. of the Company's issued share capital at the date of this Notice), such authority to expire (unless previously revoked, varied or renewed by the Company) on the earlier of the date of the next Annual General Meeting of the Company and 30 September 2023, save that the Company may before such expiry make an offer or agreement which would or might require shares to be allotted after such expiry and the Directors may allot shares in pursuance of such an offer or agreement as if the authority conferred hereby had not expired. These authorities are in substitution for any and all authorities previously conferred upon the Directors for the purposes of section 551 of the Companies Act 2006, without prejudice to any allotments made pursuant to the terms of such authorities.</p>	<p>42,573,037 (92.15%)</p>	<p>3,629,072 (7.85%)</p>	<p>164,471 (0.35%)</p>
<p>9. That, conditional upon the passing of resolution 8 above, in accordance with section 570 of the Companies Act 2006, the Directors be and are hereby given power to allot equity securities (as defined in section 560 of the Companies Act 2006) for cash, pursuant to the authority conferred by resolution 8 above, as if section 561 of the Companies Act 2006 did not apply to such allotment or sale, provided that this power shall be limited to: 9.1 the allotment or sale of equity securities for cash in connection with or pursuant to an offer to the holders of equity securities and other persons entitled to participate, in proportion (as nearly as may be) to their then holdings of equity securities (or, as appropriate, the numbers of such securities which such other persons are for those purposes deemed to hold), subject only to such exclusions or other arrangements as the Directors may feel necessary or expedient to deal with treasury shares, fractional entitlements or legal or practical problems under the laws of, or the requirements of any recognised regulatory body of, or any stock exchange in, any territory; and 9.2 the allotment or sale of equity securities (otherwise than pursuant to subparagraph 9.1) for cash up to a maximum nominal value of £450,752 (approximately 100 per cent. of the Company's issued share capital at the date of this Notice), such authority to expire (unless previously revoked, varied or renewed by the Company) on the earlier of the date of the next Annual General Meeting of the Company and 30 September 2023, save that the Company may, before such expiry, make an offer or agreement which would or might require equity securities to be allotted or sold after such expiry and the Directors may allot or sell equity securities in pursuance of such an offer or agreement as if the power conferred hereby had not expired.</p>	<p>42,258,523 (91.30%)</p>	<p>4,027,506 (8.70%)</p>	<p>80,551 (0.17%)</p>